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## **Final Report for "Planning-Based Information Agents"**

Grant number N00014-98-1-0147

November 1, 1997 - October 31, 2000.

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### **SUMMARY**

Networked information systems are making so much data available that people can't find it themselves. Software agent technology promises to amplify human decision making capabilities by gathering information from disparate sources in parallel and integrating it in real time. However, in order to make today's prototype systems realize their potential, several bottlenecks must be overcome. First, information-gathering agents need robust and efficient execution so they can process large data sets, cope with network failure and site outage. Secondly, in order to scale to the level of thousands of information sources, agents need algorithms for locating information sources; automatically creating wrappers for those sources, processing XML based representations of those sources, and routing queries to the appropriate sources.

### **PROGRESS**

We've formulated the problem of wrapper induction, proved some theoretical PAC bounds on the performance of such systems, devised a number of learning algorithms that solve the problems for different classes of sources, implemented the algorithms, and performed empirical tests on the implementations. Many others have extended our seminal results.

We've built a prototype system that automatically identifies, classifies, wraps, and query routes to over ten thousand specialized information sources. Key ideas include two novel methods for query routing: intelligent probing of CGI scripts to determine their expertise and using the Yahoo categorization of specialized information sources as a kind of semantic networks.

We've built the MULDER system which takes natural language questions, parses them, composes a set of Internet search engine queries of differing specificity using novel paraphrasing technology, sends the queries to an engine such as Google, downloads likely pages returned by Google, parses regions of the resulting pages, extracts candidate answers to the original questions, and votes to determine which are the most likely correct answer(s). MULDER outperforms commercial systems such as Google and AskJeeves. Ablations studies show the benefit derived by each of our techniques.

We've developed extensions to the proposed W3C standard XML query language allowing for updates to XML documents. We have implemented a dozen different update methods on a variety of relational encodings of XML data, and performed experiments to

determine which methods work best. We've implemented a highly optimized execution system for data integration. The resulting system, Tukwila, can handle four orders of magnitude more data than its predecessor system Razor. Key ideas include adaptivity at all levels of the architecture, interleaved planning and execution, and a novel double-pipelined join algorithm which greatly reduces latency when combining data from sources connected via low or medium-speed networks.

We've extended Tukwila to natively handle semi structured, XML information. Our algorithms leverage existing database technology yet incorporates novel query processing operators (such as XScan). Detailed empirical experiments show that our methods vastly outperform previous methods.

We've extended planning technology to handle interleaved query planning and execution as well as traditional AI planning in the context of uncertainty.

We've built two new planning systems. TGP is a temporal planner that uses Graphplan-like mutual exclusion reasoning to achieve impressive performance. LPSAT compiles resource planning problems into a combined linear-programming/propositional satisfiability representation, which is then solved using a novel combination of incremental simplex and Davis-Putnam systematic SAT algorithms.

Finally, we've implemented the Tiramisu web site management system. Tiramisu separates the design of a web site from its implementation, allowing the use of multiple implementation tools while supporting a high-level declarative model of the site.

### **ACCOMPLISHMENTS**

Design, implementation and test of next-generation, scalable, fully autonomous wrapper creation system.

Implementation of prototype web resource detector and query routing system.

Implementation and testing of Tukwila adaptive execution system for information integration.

Design, implementation and experimentation on MULDER, the first fully automated question-answering system for the WWW.

Design of XML update language, comparative implementation of update methods and experimental evaluation.

Experiments showing utility of double pipelined join, interleaved planning and execution, and other Tukwila features.

Design, implementation and experimentation on conformant graphplan.

Design, implementation and experimentation on contingent graphplan.

Design, implementation and experimentation on factored expansion graphplan.

Design, implementation and experimentation on TGP temporal planner.

Design, implementation and experimentation on LPSAT resource planner.

Design and implementation of Tiramisu web-site management system.

### **TRANSITIONS**

My primary collaborators are Professor Oren Etzioni and Professor Alon Halevy, both at the University of Washington, and Dr. David Smith at NASA Ames Research Center. Our work on wrapper induction has been adopted and extended by Professor Nick Kushmerick (former student) now at Dublin City University, Ireland, by Dr. Steve Minton and Dr. Craig Knoblock at ISI, and by the group of Professor Tom Mitchell at CMU. Nimble technology (a startup company which I co-founded with Professor Halevy) has licensed the Tukwila data integration system. NASA is interested in fielding our planning work. The W3C standards body is considering incorporating our XML update methods in the next standard.

### **AWARDS**

I was made AAAI Fellow for my "significant contribution to the development of qualitative reasoning methods, software agent technology, and plan synthesis algorithms."

I was presented with the WRF / TJ Cable Endowed Professorship.

### **PUBLICATIONS**

Ives, Z., Levy, A., Tatarinov, I., and Weld, D. "Updating XML," *2001 ACM Conference on Management of Data (SIGMOD-01)*, Santa Barbara, CA, May 2001.

Kwok, C., Etzioni, O., and Weld D. "Scaling Question Answering to the Web," *Tenth International World Wide Web Conference (WWW10)*, Hong Kong, May 2001.

Levy, A. and Weld, D., "Intelligent Internet Systems," *Artificial Intelligence*, **118** (1--2): 1--14, April 2000.

Wolfman, S. and Weld, D. "Combining Linear Programming and Satisfiability Solving for Resource Planning," *Knowledge Engineering Review* 15:1, 2000.

Wolfman, S. and Weld, D. "The LPSAT Engine & its Application to Resource Planning," *Sixteenth International Joint Conference on Artificial Intelligence (IJCAI-99)*, Stockholm, Sweden, August 1999.

- Smith, D. and Weld, D. "Temporal Planning with Mutual Exclusion Reasoning," Sixteenth International Joint Conference on Artificial Intelligence (IJCAI-99), Stockholm, Sweden, August 1999.
- Ives, Z. and Florescu, D. and Friedman, M. and Levy, A. and Weld, D. "An Adaptive Query Execution System for Data Integration," 1999 ACM Conference on Management of Data (SIGMOD-99), Philadelphia, PA, June 1999.
- Lau, T., Etzioni, O., and Weld, D. "Privacy Interfaces for Information Management," *Communications of the ACM*, 1999.
- Anderson, C. A. and Levy, A. Y. and Weld, D. S. "Declarative web-site management with Tiramisu," Proceedings of the Second International Workshop on The Web and Databases (WebDB '99), 1999.
- Lau, T., Etzioni, O., and Weld, D. "Privacy Interfaces for Information Management," *Communications of the ACM*, October 1999.
- Lau, T. and Weld, D., "Programming by Demonstration: An inductive learning formulation," 1999 ACM International Conference on Intelligent User Interfaces (IUI-99), Orlando, FL, January 1999.
- Weld, D. and Anderson, C. and Smith, D., "Extending Graphplan to Handle Uncertainty & Sensing Actions," *Fifteenth National Conference on Artificial Intelligence (AAAI-98)*, 9 pages, Madison, WI, July 1998.
- Smith, D. and Anderson, C. and Weld, D., "Contingent Graphplan," *Fifteenth National Conference on Artificial Intelligence (AAAI-98)*, 9 pages, Madison, WI, July 1998.
- Anderson, C. and Smith, D. and Weld, D., "Conditional Effects in Graphplan," *Fourth International Conference on Artificial Intelligence Planning Systems (AIPS-98)*, 9 pages, Pittsburgh, PA, June 1998.
- Etzioni, O., Golden, K. and Weld, D., "Sound and Efficient Closed-World Reasoning for Planning," *Artificial Intelligence*, **89**:113--148, 1997.
- Weld, D. and Anderson, C. and Smith, D., "Extending Graphplan to Handle Uncertainty & Sensing Actions," *Fifteenth National Conference on Artificial Intelligence (AAAI-98)*, 9 pages, Madison, WI, July 1998.
- Smith, D. and Anderson, C. and Weld, D., "Contingent Graphplan," *Fifteenth National Conference on Artificial Intelligence (AAAI-98)*, 9 pages, Madison, WI, July 1998.

Anderson, C. and Smith, D. and Weld, D., "Conditional Effects in Graphplan," *Fourth International Conference on Artificial Intelligence Planning Systems (AIPS-98)*, 9 pages, Pittsburgh, PA, June 1998.

Kushmerick, N. and Doorenbos, R. and Weld, D., "Wrapper Induction for Information Extraction," *Fifteenth International Joint Conference on Artificial Intelligence (IJCAI-97)*, 7 pages, Nagoya Japan, August 1997.

Friedman, M. and Weld, D., "Efficient Execution of Information Gathering Plans," *Fifteenth International Joint Conference on Artificial Intelligence (IJCAI-97)*, 7 pages, Nagoya Japan, August 1997.

Ernst, M. and Millstein, T. and Weld, D., "Automatic SAT-Compilation of Planning Problems," *Fifteenth International Joint Conference on Artificial Intelligence (IJCAI-97)*, 8 pages, Nagoya Japan, August 1997.

# REPORT OF INVENTIONS AND SUBCONTRACTS

(Pursuant to "Patent Rights" Contract Clause) (See Instructions on back)

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b. ADDRESS (Include ZIP Code) 3935 University Way NE, Box 355754 Seattle, WA 98195-		d. AWARD DATE (YYYYMMDD) 19971101		b. ADDRESS (Include ZIP Code)		d. AWARD DATE (YYYYMMDD)		4. REPORTING PERIOD (YYYYMMDD) a. FROM 19971101 b. TO 20001031	

## SECTION I - SUBJECT INVENTIONS

5. "SUBJECT INVENTIONS" REQUIRED TO BE REPORTED BY CONTRACTOR/SUBCONTRACTOR ("None," so state)

NAME(S) OF INVENTOR(S) (Last, First, Middle Initial)	TITLE OF INVENTION(S) b.	DISCLOSURE NUMBER, PATENT APPLICATION SERIAL NUMBER OR PATENT NUMBER c.	ELECTION TO FILE PATENT APPLICATIONS (X)				CONFIRMATORY INSTRUMENT OR ASSIGNMENT FORWARDED TO CONTRACTING OFFICER (X)
			PATENT APPLICATIONS (X)		d.		
			(1) UNITED STATES	(2) FOREIGN	(a) YES	(b) NO	
a.	None		(a) YES	(b) NO	(a) YES	(b) NO	

6. EMPLOYER OF INVENTOR(S) NOT EMPLOYED BY CONTRACTOR/SUBCONTRACTOR

(1) (a) NAME OF INVENTOR (Last, First, Middle Initial)	(2) (a) NAME OF INVENTOR (Last, First, Middle Initial)	(1) TITLE OF INVENTION	(2) FOREIGN COUNTRIES OF PATENT APPLICATION
(b) NAME OF EMPLOYER			
(c) ADDRESS OF EMPLOYER (Include ZIP Code)			


## SECTION II - SUBCONTRACTS (Containing "Patent Rights" clause)

SUBCONTRACTS AWARDED BY CONTRACTOR/SUBCONTRACTOR ("None," so state)			
NAME OF SUBCONTRACTOR(S) a.	ADDRESS (Include ZIP Code) b.	SUBCONTRACT NUMBER(S) c.	DESCRIPTION OF WORK TO BE PERFORMED UNDER SUBCONTRACT(S) e.
None			(1) AWARD (2) ESTIMATED COMPLETION

## SECTION III - CERTIFICATION

7. CERTIFICATION OF REPORT BY CONTRACTOR/SUBCONTRACTOR (Not required if: (X as appropriate))

I certify that the reporting party has procedures for prompt identification and timely disclosure of "Subject Inventions," that such procedures have been followed and that all "Subject Inventions" have been reported.

a. NAME OF AUTHORIZED CONTRACTOR/SUBCONTRACTOR OFFICIAL (Last, First, Middle Initial) Zuiches, Carol	b. TITLE Director, Grant & Cont. Svcs.	c. SIGNATURE 	d. DATE SIGNED 6/26/01
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Date: Tue, 26 Jun 2001 16:46:51 -0700 (PDT)  
From: Alicen L. Smith <asmith@cs.washington.edu>  
To: collins5@u.washington.edu  
Subject: Re: onr final report (fwd)

----- Forwarded message -----

Date: Fri, 22 Jun 2001 13:28:41 -0400  
From: Daniel Weld <weld@dakobed.com>  
To: "'asmith@cs.washington.edu'" <asmith@cs.washington.edu>  
Subject: Re: onr final report

No patents  
Thanks

Dan

-----Original Message-----

From: Alicen L. Smith <asmith@cs.washington.edu>  
To: Dan Weld <weld@cs.washington.edu>  
Sent: Fri Jun 22 09:47:49 2001  
Subject: Re: onr final report

There may be a few more questions, but so far all I need to know is:

Did you acquire any patents as a result of this research?

If so, please list:

Inventors' names  
title of invention(s)  
patent (application) number

Thanks,  
Alicen